



## SUSPICIOUS TRANSACTION REPORT (STR)

### Form B

*Pursuant to Section 9 of the Anti-Money Laundering Act, 2013*

**KINDLY FILL IN CAPITAL**

**PART 1 REPORT DETAILS**

1.1 ENTITY REFERENCE NUMBER

1.2 FIA REFERENCE NUMBER

1.3 SUBMISSION DATE

**PART 2 INFORMATION ON REPORTING ENTITY/PERSON**

**2.1 REPORTING ENTITY DETAILS**

NAME OF REPORTING ENTITY

BUSINESS TYPE

SECTOR

ID ALLOCATED BY FIA

**2.2 TYPE OF REPORTING ENTITY**

ADVOCATE/ACCOUNTANT/AUDITOR

BROKER/DEALER/INVESTMENT ADVISOR UNDER CMA

BOARD OF EXECUTORS/TRUST COMPANY

INSURANCE COMPANY/BROKER/AGENT

CASINO

REGISTRAR OF COMPANIES

REAL ESTATE AGENT

REGISTRAR OF LAND

DEALER IN PRECIOUS METALS AND GEMS

UGANDA INVESTMENT AUTHORITY

TRUST AND COMPANY SERVICE PROVIDER

LICENSING AUTHORITY

FINANCIAL INSTITUTION

NGO, CHURCH, OTHER CHARITABLE ORGANIZATION

OTHER (SPECIFY)

**2.3 PARTICULARS OF THE MONEY LAUNDERING CONTROL OFFICER (MLCO)**

SURNAME

OTHER NAMES

DESIGNATION/TITLE

TELEPHONE NUMBER(S)

EMAIL

**2.4 ADDRESS OF REPORTING ENTITY/PERSON**

STREET ADDRESS

POSTAL ADDRESS

CITY/TOWN

TELEPHONE NUMBER

EMAIL

SUPERVISED/REGULATED BY

TOTAL NUMBER OF PAGES ATTACHED TO THIS STR

**PART 3 INFORMATION ON SUSPICION**

**3.1 PARTICULARS OF PERSON OR BUSINESS ENTITY BEING REPORTED OR CONDUCTING A TRANSACTION**

NUMBER OF PERSONS REPORTED IN THIS STR

BUSINESS ENTITIES

NATURAL PERSONS

**3.1.1 BUSINESS ENTITY**

NAME OF BUSINESS ENTITY

REGISTRATION NUMBER

DATE OF INCORPORATION

TAX IDENTIFICATION NUMBER (TIN)

REGISTERED OFFICE

NATURE OF BUSINESS

ADDRESS

TELEPHONE

EMAIL

**3.1.2 NATURAL PERSON**

TITLE

SURNAME

OTHER NAMES

TAX IDENTIFICATION NUMBER (TIN)





TYPE OF PROPERTY

OWNER OF PROPERTY BEFORE TRANSACTION

OWNER OF PROPERTY AFTER TRANSACTION

ESTIMATED VALUE OF THE PROPERTY

MANNER IN WHICH THE PROPERTY WAS DISPOSED OFF

VALUE FOR WHICH THE PROPERTY WAS DISPOSED OFF

LOCATION OF THE PROPERTY

**PART 5 SOURCE ACCOUNT OF THE FUNDS**

**5.1 MODE OF TRANSACTION**

CASH

ELECTRONIC FUNDS TRANSFER

INSURANCE POLICY

CHEQUE

CURRENCY EXCHANGE

MONEY ORDER

BANK DRAFT

SECURITIES

OTHER (SPECIFY)

**5.2 ACCOUNT DETAILS**

SWIFT/BIC CODE

ACCOUNT NUMBER

BANK/BRANCH WHERE ACCOUNT IS HELD

COUNTRY OF ORIGIN (IF OUTSIDE UGANDA)

ACCOUNT CURRENCY

ACCOUNT BALANCE AT DATE OF TRANSACTION

DATE ACCOUNT WAS OPENED

TYPE OF ACCOUNT

PURPOSE OF FUNDS

**5.3 OWNER OF ACCOUNT (IF BUSINESS ENTITY)**

NAME

REGISTRATION NUMBER

REGISTRATION DATE

REGISTERED OFFICE

NATURE OF BUSINESS

ADDRESS

TELEPHONE NUMBER(S)

**5.4 DIRECTORS/OWNERS OF BUSINESS ENTITY (USE SEPARATE PAPER IF MORE THAN TWO)**

**5.4.1 DIRECTOR/OWNER 1**

SURNAME

OTHER NAMES

DATE OF BIRTH

IDENTIFICATION DOCUMENT

IDENTITY CARD NO.

DRIVING LICENCE NO.

PASSPORT NO.

OTHER (SPECIFY)

NATIONALITY

COUNTRY OF RESIDENCE

ADDRESS

TELEPHONE NUMBER

OCCUPATION

**5.4.2 DIRECTOR/OWNER 2**

SURNAME

OTHER NAMES

DATE OF BIRTH

IDENTIFICATION DOCUMENT

IDENTITY CARD NO.

DRIVING LICENCE NO.

PASSPORT NO.

OTHER (SPECIFY)

NATIONALITY

COUNTRY OF RESIDENCE

ADDRESS

TELEPHONE NUMBER

OCCUPATION

**5.5 SIGNATORIES TO THE ACCOUNT (USE SEPARATE PAPER IF MORE THAN TWO)**

**5.5.1 SIGNATORY 1**

SURNAME	<input type="text"/>	OTHER NAMES	<input type="text"/>
DATE OF BIRTH	<input type="text"/>		
IDENTIFICATION DOCUMENT	IDENTITY CARD NO.	<input type="text"/>	
	DRIVING LICENCE NO.	<input type="text"/>	
	PASSPORT NO.	<input type="text"/>	
	OTHER (SPECIFY)	<input type="text"/>	
NATIONALITY	<input type="text"/>	COUNTRY OF RESIDENCE	<input type="text"/>
ADDRESS	<input type="text"/>		
TELEPHONE NUMBER	<input type="text"/>	OCCUPATION	<input type="text"/>

**5.5.2 SIGNATORY 2**

SURNAME	<input type="text"/>	OTHER NAMES	<input type="text"/>
DATE OF BIRTH	<input type="text"/>		
IDENTIFICATION DOCUMENT	IDENTITY CARD NO.	<input type="text"/>	
	DRIVING LICENCE NO.	<input type="text"/>	
	PASSPORT NO.	<input type="text"/>	
	OTHER (SPECIFY)	<input type="text"/>	
NATIONALITY	<input type="text"/>	COUNTRY OF RESIDENCE	<input type="text"/>
ADDRESS	<input type="text"/>		
TELEPHONE NUMBER	<input type="text"/>	OCCUPATION	<input type="text"/>

**PART 6 PARTICULARS OF THE DESTINATION OF FUNDS**

**6.1 MODE OF TRANSACTION**

CASH	<input type="text"/>	CURRENCY EXCHANGE	<input type="text"/>
ELECTRONIC FUNDS TRANSFER	<input type="text"/>	MONEY ORDER	<input type="text"/>
INSURANCE POLICY	<input type="text"/>	BANK DRAFT	<input type="text"/>
CHEQUE	<input type="text"/>	SECURITIES	<input type="text"/>
OTHER (SPECIFY)	<input type="text"/>		

6.2

**ACCOUNT DETAILS**

SWIFT/BIC CODE

ACCOUNT NUMBER

BANK/BRANCH WHERE ACCOUNT IS HELD

ACCOUNT CURRENCY

ACCOUNT BALANCE AT DATE OF TRANSACTION

DATE ACCOUNT WAS OPENED

TYPE OF ACCOUNT

PURPOSE OF FUNDS

6.3

**OWNER OF ACCOUNT (IF BUSINESS ENTITY)**

NAME

REGISTRATION NUMBER

REGISTRATION DATE

REGISTERED OFFICE

NATURE OF BUSINESS

ADDRESS

TELEPHONE NUMBER(S)

6.4

**DIRECTORS/OWNERS OF BUSINESS ENTITY (USE SEPARATE PAPER IF MORE THAN TWO)**

6.4.1

**DIRECTOR/OWNER 1**

SURNAME

OTHER NAMES

DATE OF BIRTH

IDENTIFICATION DOCUMENT

IDENTITY CARD NO.

DRIVING LICENCE NO.

PASSPORT NO.

OTHER (SPECIFY)

NATIONALITY

COUNTRY OF RESIDENCE

ADDRESS



TELEPHONE NUMBER  OCCUPATION

**6.4.2 DIRECTOR/OWNER 2**

SURNAME  OTHER NAMES

DATE OF BIRTH

IDENTIFICATION DOCUMENT IDENTITY CARD NO.

DRIVING LICENCE NO.

PASSPORT NO.

OTHER (SPECIFY)

NATIONALITY  COUNTRY OF RESIDENCE

ADDRESS

TELEPHONE NUMBER  OCCUPATION

**6.5 SIGNATORIES TO THE ACCOUNT (USE SEPARATE PAPER IF MORE THAN TWO)**

**6.5.1 SIGNATORY 1**

SURNAME  OTHER NAMES

DATE OF BIRTH

IDENTIFICATION DOCUMENT IDENTITY CARD NO.

DRIVING LICENCE NO.

PASSPORT NO.

OTHER (SPECIFY)

NATIONALITY  COUNTRY OF RESIDENCE

ADDRESS

TELEPHONE NUMBER  OCCUPATION

**6.5.2 SIGNATORY 2**

SURNAME  OTHER NAMES

DATE OF BIRTH

IDENTIFICATION DOCUMENT IDENTITY CARD NO.

DRIVING LICENCE NO.

	PASSPORT NO.	<input type="text"/>
	OTHER (SPECIFY)	<input type="text"/>
NATIONALITY	<input type="text"/>	COUNTRY OF RESIDENCE <input type="text"/>
ADDRESS	<input type="text"/>	
TELEPHONE NUMBER	<input type="text"/>	OCCUPATION <input type="text"/>

**PART 7 DOCUMENTS ATTACHED TO THIS STR (PROVIDE LIST)**

<input type="text"/>
1 <input type="text"/>
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BY SUBMITTING THIS STR, I  THE MLCO IDENTIFIED HEREUNDER, CONFIRM THAT I AM THE AUTHOR OF THIS DOCUMENT AND ACCEPT RESPONSIBILITY FOR THE INFORMATION PROVIDED HEREIN AND THAT THE INFORMATION IS, TO THE BEST OF MY KNOWLEDGE, TRUE AND CORRECT.

NAME OF MLCO	<input type="text"/>	SIGNATURE	<input type="text"/>
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